

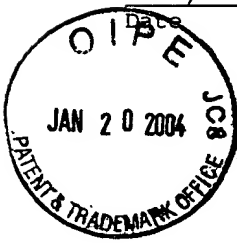
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Mail Stop Petition Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 16, 2004.

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1/16/2004

R. M. A. BAUMANN
Signature



PATENT

Attorney Docket No. ULT-001-1P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>PATENT APPLICATION</u>
)	
Inventor(s): Bert D. Cook, Jr. et al.)	
)	Art Unit: 2876
Application No.: 09/502,812)	
)	Examiner: Daniel I. Walsh
Filed: 2/9/2000)	
)	
Title ARTICLE HAVING AN EMBEDDED)	
ACCESSIBLE STORAGE MEMBER,)	
APPARATUS AND METHOD FOR USING)	
SAME)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed document, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

01/22/2004 HDEWESS1 00000074 500574 09502812

02 FC:1806 180.00 DA

- ☐ This statement qualifies under *37 C.F.R. § 1.97*, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
 - ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
 - ☐ (3) It is being filed before the mail date of the first Office Action on the merits.
-- OR --
 - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114
- ☒ *37 C.F.R. § 1.97(c)*. If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:
- ☐ a certification as specified in § 1.97(e) is provided below; **or**
 - ☒ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ *37 C.F.R. § 1.97(d)*. If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
 - B. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization*. The Commissioner is hereby authorized to charge the above-referenced fees of \$180 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. ULT-001-1P).

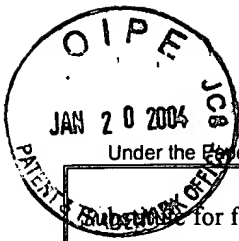
Respectfully submitted,

BEVER, HOFFMAN & HARMS, LLP

Dated: 1/16/04

By: James E. Parsons
James E. Parsons, Reg. No. 34,691

Telephone: (408) 451-5906
Customer No. 22888



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
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(Use as many sheets as necessary)

Application Number	09/502,812
Filing Date	2/9/2000
First Named Inventor:	Bert D. Cook, Jr.
Examiner: Daniel I. Walsh	GROUP: 2876

Sheet	1	of	6	Attorney Docket Number	ULT-001-1P
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U.S. PATENT DOCUMENTS

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	A01	US-1,756,547	04/1930	Hansen	
	A02	US-3,838,252	09/1974	Hynes et al.	
	A03	US-3,864,755	02/1975	Hargis	
	A04	US-4,058,839	11/1977	Darjany	
	A05	US-4,100,689	07/1978	Broune	
	A06	US-4,209,811	06/1980	Blazevic	
	A07	US-4,302,523	11/1981	Audran et al.	
	A08	US-4,403,138	09/1983	Battarel et al.	
	A09	US-4,518,627	05/1985	Foley et al.	
	A10	US-4,581,523	04/1986	Okuno	
	A11	US-4,592,042	05/1986	Lemelson et al.	
	A12	US-4,659,915	04/1987	Flies	
	A13	US-4,672,182	06/1987	Hirokawa	
	A14	US-4,683,371	07/1987	Drexler	

FOREIGN PATENT DOCUMENTS

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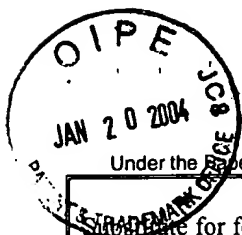
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Information for form 1449A/PTO

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Application Number	09/502,812
Filing Date	2/9/2000
First Named Inventor:	Bert D. Cook, Jr.
Examiner: Daniel I. Walsh	GROUP: 2876

Sheet	2	of	6	Attorney Docket Number	ULT-001-1P
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	A15	US-4,707,593	11/1987	Murata et al.	
	A16	US-4,718,697	01/1988	Berardus van Amelsfort	
	A17	US-4,731,645	03/1988	Parmentier et al.	
	A18	US-4,754,128	06/1988	Takeda et al.	
	A19	US-4,756,967	07/1988	Hashimoto et al.	
	A20	US-4,812,633	03/1989	Vogelgesang	
	A21	US-4,826,772	05/1989	Meathrel	
	A22	US-4,868,373	09/1989	Opheij et al.	
	A23	US-4,889,755	12/1989	Charbonneau	
	A24	US-4,897,533	01/1990	Lyszcza	
	A25	US-4,998,009	03/1991	Lijima et al.	
	A26	US-5,099,111	03/1992	Takakura et al.	
	A27	US-5,107,099	04/1992	Smith	
	A28	US-5,172,282	12/1992	Ghose	

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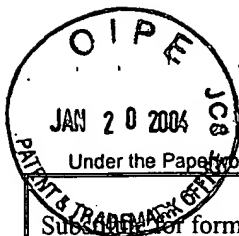
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Examiner: Daniel I. Walsh	GROUP: 2876

Sheet	3	of	6	Attorney Docket Number	ULT-001-1P
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	A29	US-5,206,494	04/1993	Metzger	
	A30	US-5,227,212	07/1993	Ahlert et al.	
	A31	US-5,236,791	08/1993	Yahisa et al.	
	A32	US-5,492,745	02/1996	Yokoyama	
	A33	US-5,626,970	05/1997	Hedgcoth	
	A34	US-5,696,369	12/1997	Watanabe	
	A35	US-5,756,220	05/1998	Hoshino et al.	
	A36	US-5,801,368	09/1998	Hayashi et al.	
	A37	US-5,928,759	07/1999	Arita et al.	
	A38	US-5,939,202	08/1999	Ataka et al.	
	A39	US-5,979,774	11/1999	Urushibata	
	A40	US-5,997,042	12/1999	Blank	
	A41	US-6,080,476	06/2000	Kanbe et al.	
	A42	US-6,116,655	09/2000	Thouin et al.	

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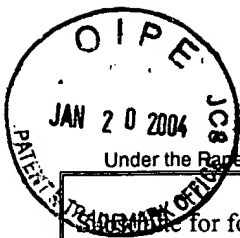
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	First Named Inventor:	Bert D. Cook, Jr.
	Examiner: Daniel I. Walsh	GROUP: 2876

Sheet	4	of	6	Attorney Docket Number	ULT-001-1P
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	A43	US-6,186,398	02/2001	Kato et al.	
	A44	US-6,196,047	03/2001	Carnegie et al.	
	A45	US-6,221,508	04/2001	Kanbe et al.	
	A46	US-6,238,809	05/2001	Wu et al.	
	A47	US-6,268,919	07/2001	Meeks et al.	
	A48	US-6,301,068	10/2001	Ionescu	
	A49	US-6,311,893	11/2001	Liu et al.	
	A50	US-6,378,037	04/2002	Hall	
	A51	US-D264,853	06/1982	Scavino et al.	
	A52	US-D293,694	01/1988	Alden et al.	
	A53	US-D305,887	02/1990	Nishimura	
	A54	US-D328,457	08/1992	Matsuzaka	
	A55	US-D410,769	06/1999	Dorizas	
	A56	US-D425,876	05/2000	Maiers et al.	

FOREIGN PATENT DOCUMENTS

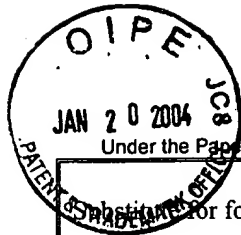
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	A57	US-D429,733	08/2000	Jones et al.	
	A58	US-D436,991	01/2001	Morgante	
	A59	US-D447,146	08/2001	Myers	
	A60	US-D448,776	10/2001	Weng et al.	
	A61	US-5,041,922	08/20/1991	Wood et al.	
	A62	US-5,055,155	10/08/1991	Crotty et al.	
	A63	US-5,101,097	03/31/1992	Conant	
	A64	US-5,147,732	09/15/1992	Shiroishi et al.	
	A65	US-5,180,640	01/19/1993	Yamashita et al.	
	A66	US-5,191,198	03/02/1993	Do	
	A67	US-5,204,513	04/20/1993	Steele	
	A68	US-5,206,489	04/27/1993	Warwick	

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	A69	FR 2505523	12/11/1982	Widmer MJF		

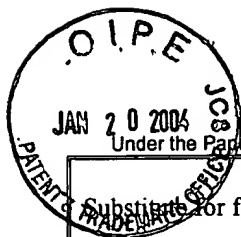
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Bhushan, Bharat, *Tribology and Mechanics of Magnetic Storage Devices*, New York: Springer-Verlag, pp. 599, 629-638

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	First Named Inventor:	Bert D. Cook, Jr.
	Examiner: Daniel I. Walsh	GROUP: 2876

Sheet	6	of	6	Attorney Docket Number	ULT-001-1P
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Examiner Initials*	Cited No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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